

01-28-02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL
(For new non-provisional applications under 37 CFR § 1.53(b))

Atty. Dkt. No: 5681-06700

Inventor(s):
John M. Morrison

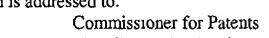
Title: Fault Tolerance Mechanism For
A Storage Device That Stores
Data In Stacks Within An
Integral Storage Medium

CERTIFICATE OF EXPRESS MAIL
UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL893865635US
DATE OF DEPOSIT: January 25, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to.

Commissioner for Patents
 Box Patent Application
 Washington, DC 20231



Derrick Brown

Application Elements

1. Filing Fee
The filing fee is calculated as shown below.

| | | | | | |
|---------------------------|----|------|----|------------------------------------|------------|
| Total Claims | 40 | -20= | 20 | x \$18.00= | \$360.00 |
| Independent Claims | 5 | -3 = | 2 | x \$84.00= | \$168.00 |
| Multiple Dependent Claims | | | | Fee: | \$0.00 |
| | | | | Basic Fee: | \$740.00 |
| | | | | Assignment Fee | \$40.00 |
| | | | | Sub-Total: | \$1,308.00 |
| | | | | Reduction by 50% for Small Entity: | \$0.00 |
| | | | | Total: | \$1,308.00 |

Fee Authorizations for fees are enclosed.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 501505/5681-06700/RCK.

One duplicate copy of this form is enclosed.

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2. Specification
19 page(s) of specification; 8 page(s) of claims, 1 page(s) of abstract

3. Drawings
Formal Figure(s) 1-6 on 5 sheet(s)

4. Oath or Declaration

Newly executed

Copy from a prior application (see 37 C.F.R. § 1.63(d))
Deletion of Inventor(s) (in continuation or divisional applications):

Delete the following inventor(s) named in the prior non-provisional application:

The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.

6. Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 Computer Readable copy
 Paper Copy (identical to computer copy)
 Statement verifying identity of above copies

8. Assignment Papers

9. Power of Attorney
 Is attached.
 The power of attorney appears in the original papers of the prior application.
 Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

10. Information Disclosure Statement (IDS)
 Copies of IDS Citations

11. Amendments
 A preliminary amendment is enclosed.
 Cancel in this application claim(s) _____ before calculating the filing fee. At least one independent claim is retained for filing purposes.
 Amend the specification by inserting before the first line the sentence: _____

12. Return Receipt Postcard

13. Small Entity Status
 A small entity statement is enclosed.
 A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
 Is no longer claimed.

14. Priority of foreign application number _____, filed on _____ in _____ is claimed under 35 U.S.C. §§ 119(a)-(d)

15. Petition under 37 C.F.R. § 136 for Extension of Time

16. Other: Request and Certification under 122(b)(2)(B)(i)

Address all future correspondence to:

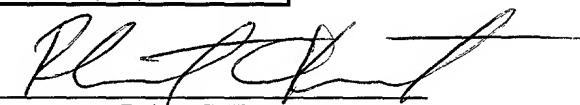
Robert C. Kowert
Conley, Rose, & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767
Phone: (512) 476-1400 Fax: (512) 703-1250

Signature

Name

Registration No.

Date


Robert C. Kowert

39,255

January 25, 2002